

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

5C JCWSCS 11 DEC 2003

12-10-03

17:24

From-CLARK & ELBING LLP

+6174287045

T-790

P.001/008

F-123

DT06 Rec'd PCT/PTO 10 DEC 2003

## Clark & Elbing LLP

101 Federal Street  
Boston, MA 02110

Telephone 617-428-0200  
Facsimile 617-428-7045  
617-428-7046

**Date:** December 10, 2003

**To:** Office of Initial Patent Examination's Filing Receipt  
Corrections  
U.S. Patent and Trademark Office

**Facsimile No:** 1-703-746-9195

**From:** Karen L. Elbing, Ph.D.

**Re:** SCLEROPROTEIN OF AN ADENO-ASSOCIATED VIRUS  
WITH MODIFIED CHROMATOGRAPHIC PROPERTIES,  
THE PRODUCTION THEREOF  
AND USE OF THE SAME  
U.S.S.N. 10/031,187  
Our Reference: 50125/045001

**Pages:** 8 pages

**Message:** Please make the necessary changes to the attached Filing  
Receipt.

Thank you.

NOTICE: This facsimile transmission may contain confidential or privileged information intended for the addressee only. If you are not the addressee, be aware that any disclosure, copying, distribution, or use of the information is prohibited. If you have received this facsimile transmission in error, please call us at 617-428-0200 to arrange for its return at no cost to you.

PATENT  
ATTORNEY DOCKET NUMBER: 50125/045001

Date of Deposit 12/10/03

I hereby certify under that this correspondence is being facsimile transmitted to the Office of Initial Patent Examination's Filing Receipt Corrections, U.S. Patent and Trademark Office, 1-703-746-9195 on the date indicated above.

Colleen Coyne  
Name of person sending by facsimile

Colleen Coyne  
Signature of person sending by facsimile

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Michael Hallek et al.	Art Unit:	1614
Serial No.:	10/031,187	Examiner:	
Filed:	January 18, 2002	Customer No.:	21559
Title:	SCLEROPROTEIN OF AN ADENO-ASSOCIATED VIRUS WITH MODIFIED CHROMATOGRAPHIC PROPERTIES, THE PRODUCTION THEREOF AND USE OF THE SAME		

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

PETITION TO CORRECT FILING RECEIPT

Applicants request that the attached filing receipt be corrected as follows:

Please change the Attorney Docket Number from "50125/044001" to

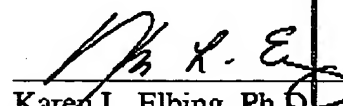
--50125/045001--. In addition, please change Applicant's name "Christof Korner" to

--Christof Gerhard Stolla--.

Attached is a copy of the incorrect filing receipt indicating the corrections to be made, as well as a Petition under 37 C.F.R. § 1.182 for Inventor Name Change filed with the U.S. Patent Office today.

Respectfully submitted,

Date: 10 December 2003

  
Karen L. Elbing, Ph.D.  
Reg. No. 35,238

Clark & Elbing LLP  
101 Federal Street  
Boston, MA 02110  
Telephone: 617-428-0200  
Facsimile: 617-428-7045

F:\50125\50125.045001 petition to correct filing receipt.doc



## UNITED STATES PATENT AND TRADEMARK OFFICE

YLP  
UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	END CLMS
10/031,187	06/12/2002	1614	645	50125/044001	2	35	1

RECEIVED

NOV 05 2003

CLARK &amp; ELBING LLP

CONFIRMATION NO. 2548

## FILING RECEIPT



Date Mailed: 11/03/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Michael Hallek, Schondorf, GERMANY;  
Anne Girod, Munchen, GERMANY;  
Martin Ried, Sinning, GERMANY;  
Christof Kerner, Munchen, GERMANY;  
Ulrich Moebius, Gauting-Unterbrunn, GERMANY;

Berhard Stolla --

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP00/06861 07/18/2000

## Foreign Applications

GERMANY 199 33 719.5 07/19/1999

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Scleroprotein of an adeno-associated virus with modified chromatographic properties, the production thereof and use of the same

**Preliminary Class**

514

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (i)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PATENT  
ATTORNEY DOCKET NUMBER: 50125/045001

Certificate of Mailing: Date of Deposit: 12/10/03

I hereby certify under 37 C.F.R. § 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Colleen Coyne

Printed name of person mailing correspondence

Colleen Coyne

Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Michael Hallek et al.	Art Unit:	1614
Serial No.:	10/031,187	Examiner:	
Filed:	January 18, 2002	Customer No.:	21559
Title:	SCLEROPROTEIN OF AN ADENO-ASSOCIATED VIRUS WITH MODIFIED CHROMATOGRAPHIC PROPERTIES, THE PRODUCTION THEREOF AND USE OF THE SAME		

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

PETITION UNDER 37 C.F.R. § 1.182 FOR INVENTOR NAME CHANGE

Under M.P.E.P. 605.04(c) and 37 C.F.R. § 1.182, Applicants petition for a name change as follows.


Kindly change the name of Applicant, Christof Körner to Christof Gerhard Stolla.

As required, enclosed are an Affidavit signed by the above-mentioned Applicant and the petition fee set forth under 37 C.F.R. § 1.17(h).

If there are any other charges or any credits, please apply them to Deposit Account  
No. 03-2095.

Respectfully submitted,

Date: 10 December 2003

  
Karen L. Elbing, Ph.D.  
Reg. No. 35,238

Clark & Elbing LLP  
101 Federal Street  
Boston, MA 02110  
Telephone: 617-428-0200  
Facsimile: 617-428-7045

F:\50125\50125.045001 Inventors Changes Name.doc



PATENT  
ATTORNEY DOCKET NUMBER: 50125/045001

Certificate of Mailing: Date of Deposit: 12/10/03

I hereby certify under 37 C.F.R. § 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to Mail Stop Petition, Commissioner for Patents, Office of Petitions, P.O. Box 1450, Alexandria, VA 22313-1450.

Colleen Coyne  
Printed name of person mailing correspondence

Colleen Coyne  
Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael Hallek et al.

Art Unit:

Serial No.: 10/031,187

Examiner:

Filed: January 18, 2002

Customer No.: 21559

Title: SCLEROPROTEIN OF AN ADENO-ASSOCIATED VIRUS WITH  
MODIFIED CHROMATOGRAPHIC PROPERTIES, THE  
PRODUCTION THEREOF AND USE OF THE SAME

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

AFFIDAVIT OF APPLICANT REQUESTING NAME CHANGE

I, Christof Gerhard Stolla, declare that I have changed my name from Christof Körner to Christof Gerhard Stolla by standard legal procedures set forth under German law, and as demonstrated by the attached official Name Change Certificate.

Christof Körner

Christof Gerhard Stolla